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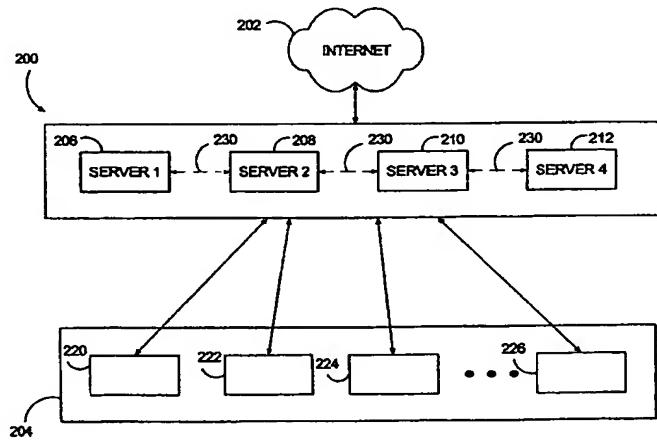
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 (21) International Application Number: PCT/US00/09861 (75) Inventors/Applicants (for US only): BRUCK, Jehoshua [US/US]; 5657 Bramblewood Road, La Canada, CA 91011 (US). BOHOSSIAN, Vasken [CA/US]; 1127 E. Del Mar Boulevard #227, Pasadena, CA 91106 (US). FAN, Chenggong [CN/US]; 1155 E. Del Mar Boulevard #105, Pasadena, CA 91106 (US). LEMAHIEU, Paul [US/US]; 1032 E. Del Mar Boulevard #301, Pasadena, CA 91106 (US). LOVE, Philip [GB/US]; 1032 E. Del Mar Boulevard #301, Pasadena, CA 91106 (US).

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[Continued on next page]

(54) Title: DISTRIBUTED SERVER CLUSTER FOR CONTROLLING NETWORK TRAFFIC



A3

WO 00/62502 (57) Abstract: A scalable, distributed, highly available, load balancing server system having multiple machines is provided that functions as a front server layer between a network (such as the Internet) and a back-end server layer having multiple machines functioning as Web file servers, FTP servers, or other application servers. The front layer machines comprise a server cluster that performs fail-over and dynamic load balancing for both server layers. The operation of the servers on both layers is monitored, and when a server failure at either layer is detected, the system automatically shifts network traffic from the failed machine to one or more operational machines, reconfiguring front-layer servers as needed without interrupting operation of the server system. The server system automatically accommodates additional machines in the server cluster, without service interruption. The system operates with a dynamic reconfiguration protocol that permits reassignment of network addresses to the front layer machines. The front layer machines perform their operations without breaking network communications between clients and servers, and without rebooting of computers.



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According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, IBM-TDB, INSPEC, COMPENDEX

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X	WO 98 26559 A (GTE INTERNETWORKING INC) 18 June 1998 (1998-06-18) page 13, line 29 -page 21, line 23 figures 2B,3C,4C	1,2
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A	US 5 341 477 A (MORENCY JOHN P ET AL) 23 August 1994 (1994-08-23) figures 2,2A,7 column 4, line 38 - line 68 column 11, line 15 - line 50 ---	1-3,18, 30
A	US 5 774 668 A (CHOQUIER PHILIPPE ET AL) 30 June 1998 (1998-06-30) figures 1,5A,5B column 4, line 54 -column 8, line 63 column 12, line 5 -column 13, line 38 ---	1-3,18, 30
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 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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<p>(21) International Application Number: PCT/US00/09861</p> <p>(22) International Filing Date: 12 April 2000 (12.04.00)</p> <p>(30) Priority Data: 60/128,872 12 April 1999 (12.04.99) US 09/437,637 10 November 1999 (10.11.99) US</p> <p>(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Applications US 09/437,637 (CIP) Filed on 10 November 1999 (10.11.99) US 60/128,872 (CIP) Filed on 12 April 1999 (12.04.99)</p> <p>(71) Applicant (<i>for all designated States except US</i>): RAINFINITY, INC. [US/US]; Suite 200, 87 N. Raymond Avenue, Pasadena, CA 91103 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (<i>for US only</i>): BRUCK, Jehoshua [US/US]; 5657 Bramblewood Road, La Canada, CA 91011 (US). BOHOSSIAN, Vasken [CA/US]; 1127 E. Del Mar Boulevard #227, Pasadena, CA 91106 (US). FAN, Chenggong [CN/US]; 1155 E. Del Mar Boulevard #105,</p>		<p>Pasadena, CA 91106 (US). LEMAHIEU, Paul [US/US]; 1032 E. Del Mar Boulevard #301, Pasadena, CA 91106 (US). LOVE, Philip [GB/US]; 1032 E. Del Mar Boulevard #301, Pasadena, CA 91106 (US).</p> <p>(74) Agents: HALL, David, A. et al.; Heller Ehrman White & McAuliffe LLP, Suite 700, 4250 Executive Square, La Jolla, CA 92037 (US).</p> <p>(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>Without international search report and to be republished upon receipt of that report.</i></p>	
<p>(54) Title: DISTRIBUTED SERVER CLUSTER FOR CONTROLLING NETWORK TRAFFIC</p> <p>(57) Abstract</p> <p>A scalable, distributed, highly available, load balancing server system having multiple machines is provided that functions as a front server layer between a network (such as the Internet) and a back-end server layer having multiple machines functioning as Web file servers, FTP servers, or other application servers. The front layer machines comprise a server cluster that performs fail-over and dynamic load balancing for both server layers. The operation of the servers on both layers is monitored, and when a server failure at either layer is detected, the system automatically shifts network traffic from the failed machine to one or more operational machines, reconfiguring front-layer servers as needed without interrupting operation of the server system. The server system automatically accommodates additional machines in the server cluster, without service interruption. The system operates with a dynamic reconfiguration protocol that permits reassignment of network addresses to the front layer machines. The front layer machines perform their operations without breaking network communications between clients and servers, and without rebooting of computers.</p>			

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CLAIMS

We claim:

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1. A data traffic controller for a computer network, the controller comprising:
 - a network interface that permits communication between the traffic controller and a subnet over which network data is sent and received; and
 - a distributed gateway application that dynamically determines network data traffic address assignments from multiple primary network addresses to multiple virtual network addresses to send network data to an intended host on the subnet, wherein network data intended for a host on the subnet is addressed to one of the virtual network addresses.
2. A method of controlling data traffic for a computer network through a traffic controller computer, wherein the data traffic is received through a network interface that permits communication between the traffic controller and a subnet over which network data is sent and received, the method comprising:
 - receiving network data intended for a host on the subnet at a data traffic controller, wherein the network data is addressed to one of a plurality of virtual network addresses that are on the subnet and are associated with one or more primary network addresses; and

dynamically determining network data traffic address assignments from multiple primary network addresses to multiple virtual network addresses to send network data to an intended host on the subnet.

5 3. A method of operating a server computer for controlling data traffic of a computer network, the method comprising:

receiving network data traffic through a network interface that permits communication between the server computer and other computers;

10 communicating with a plurality of server computers that are all members of a first subnet of network addresses over which network data is sent and received, comprising a front layer of servers, wherein the communication includes state sharing information with a dynamic reconfiguration protocol that permits reassignment of network addresses among the front layer servers and specifies state information sharing and load information sharing among the front layer servers; and

15 communicating with a plurality of network computers that are members of a second subnet of network addresses to send and receive network data traffic.

20 4. A method as defined in claim 3, wherein communicating with a plurality of server computers comprises sending data using a Reliable Message layer scheme that comprises a token data packet and one or more data carriage packets, wherein the token data packet specifies the number of data carriage packets that together comprise a Reliable

Message packet and wherein the data carriage packets include data relating to state information and data traffic load information about each of the front layer servers.

5. A method as defined in claim 3, further comprising:

5 receiving network data traffic;

determining if the data traffic is associated with a previous network communication session of an original server computer of the first subnet, prior to a network address reassignment for the original server computer;

responding to data traffic not associated with a previous network communications

10 session of an original server computer by processing the data traffic; and

responding to data traffic that is associated with a previous network communication session with an original server computer by checking a record of network address assignments and identifying the original server computer associated with the previous network communications session and forwarding the data traffic to the identified original

15 server computer.

6. A method as defined in claim 3, wherein communicating with server computers of the first subnet further includes performing a network address translation comprising:

20 receiving data traffic for a pool of virtual network addresses serviced by the server computers of the first subnet;

determining that the received data traffic includes a data packet intended for a port connection at a different server computer of the first subnet; and

identifying a computer port assignment of the different server computer in the first subnet for which the data traffic is intended and performing an address translation function to route the data packet to the different server computer.

7. A method as defined in claim 6, wherein determining a port connection of the received data traffic comprises determining that the data packet relates to a port connection that is not in a list of port connections, and wherein identifying a port assignment comprises receiving a synchronization message update containing port assignment information that permits identification of the different server computer to which the port is assigned.

8. A method as defined in claim 3, further including:
receiving cluster configuration information for operation of the server computer and
15 adapting operation accordingly; and
communicating the cluster configuration information to the other server computers of the first subnet such that the other server computers adapt their operation accordingly.

9. A method as defined in claim 3, further comprising:
20 receiving data traffic comprising a request for a data file;

sending a data packet with the request information to a computer of the second subnet;

storing header information for the data request;

receiving data packets of the requested data file from the second subnet computer and

5 forwarding the data packets to the requesting computer;

maintaining state data on the client communications session, including the number of

data packets sent to the requesting computer;

detecting a failure of the second subnet computer and in response identifying a replacement second subnet computer from which the requested data is available; and

10 sending a request for the requested data to the replacement second subnet computer, such that the request is for data beginning subsequent to the data packets already forwarded to the requesting computer.

10. A method as defined in claim 3, further including:

15 configuring an operating system of the server computer such that all network addresses in a pool of addresses assigned to the server computers of the first subnet are assigned to the server computer;

generating a gratuitous address resolution protocol (ARP) message in response to an address reassignment of the server computer and communicating the ARP message to the 20 other server computers of the first subnet;

blocking the sending of an ARP acknowledgment message to the other server computers of the first subnet for any received gratuitous ARP message, thereby inhibiting reboot operation of the respective server computers and ensuring that each server computer is unaware of any duplicate assignment of network address numbers.

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11. A method as defined in claim 3, further including operating as an authoritative node of the first subnet to ensure symmetric routing of network data traffic to and from the first subnet.

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12. A method as defined in claim 11, wherein operating to ensure symmetric traffic routing comprises:

receiving a data request from a responding server computer of the first subnet, wherein the data request was initially received at the responding server computer, which determined the authoritative node for responding to the data request;

15

identifying a server computer in the first subnet that will handle the data traffic associated with the data request and forwarding the data request to the identified server computer for handling;

receiving a reply message from a server computer of the first subnet that is operating as a default reply node to a second subnet computer that is responding to the data request;

20

and

forwarding the reply message to a server computer of the first subnet that will ensure symmetric routing of the data request and reply message with respect to the server computers of the first subnet.

5 13. A method as defined in claim 12, further comprising forwarding assignment information to the server computer of the first subnet that was operating as the default reply node for the data request, wherein the assignment information includes forwarding information that the default reply node can use to directly forward response messages from the second subnet computer to the first subnet computer that will ensure symmetric routing.

10 14. A method as defined in claim 3, wherein the computers of the second subnet comprise application servers.

15 15. A method as defined in claim 3; wherein the network over which data traffic is received comprises the Internet.

16. A method as defined in claim 15, wherein the network data traffic includes requests for data files.

20 17. A method as defined in claim 16, wherein the data files comprise Web pages.

18. A program product for use in a computer that executes program steps recorded in a computer-readable media to perform a method of operating the computer for controlling data traffic of a computer network, the program product comprising:

a recordable media;

5 computer-readable instructions recorded on the recordable media, comprising instructions executable by the computer to perform a method comprising:

receiving network data traffic through a network interface that permits communication between the server computer and other computers;

communicating with a plurality of server computers that are all members of a first 10 subnet of network addresses over which network data is sent and received, comprising a front layer of servers, wherein the communication includes state sharing information with a dynamic reconfiguration protocol that permits reassignment of network addresses among the front layer servers and specifies state information sharing and load information sharing among the front layer servers; and

15 communicating with a plurality of network computers that are members of a second subnet of network addresses to send and receive network data traffic.

19. A program product as defined in claim 18, wherein communicating with a plurality of server computers comprises sending data using a Reliable Message layer scheme 20 that comprises a token data packet and one or more data carriage packets, wherein the token data packet specifies the number of data carriage packets that together comprise a Reliable

Message packet, and wherein the data carriage packets include data relating to state information and data traffic load information about each of the front layer servers.

20. A program product as defined in claim 18, wherein the performed method

5 further comprises:

receiving network data traffic;

determining if the data traffic is associated with a previous network communication session of an original server computer of the first subnet, prior to a network address reassignment for the original server computer;

10 responding to data traffic not associated with a previous network communications session of an original server computer by processing the data traffic; and

15 responding to data traffic that is associated with a previous network communication session with an original server computer by checking a record of network address assignments and identifying the original server computer associated with the previous network communications session and forwarding the data traffic to the identified original server computer.

21. A program product as defined in claim 18, wherein communicating with server computers of the first subnet further includes performing a network address translation

20 comprising:

receiving data traffic for a pool of virtual network addresses serviced by the server computers of the first subnet;

determining that the received data traffic includes a data packet intended for a port connection at a different server computer of the first subnet; and

5 identifying a computer port assignment of the different server computer in the first subnet for which the data traffic is intended and performing an address translation function to route the data packet to the different server computer.

22. A program product as defined in claim 21, wherein determining a port

10 connection of the received data traffic comprises determining that the data packet relates to a port connection that is not in a list of port connections, and wherein identifying a port assignment comprises receiving a synchronization message update containing port assignment information that permits identification of the different server computer to which the port is assigned.

15 23. A program product as defined in claim 18, wherein the performed method further includes:

receiving cluster configuration information for operation of the server computer and adapting operation accordingly; and

20 communicating the cluster configuration information to the other server computers of the first subnet such that the other server computers adapt their operation accordingly.

and the second subnet computer; and further comprising:

24. A program product as defined in claim 18, wherein the performed method further comprises:

receiving data traffic comprising a request for a data file;

5 sending a data packet with the request information to a computer of the second subnet;

storing header information for the data request;

receiving data packets of the requested data file from the second subnet computer and forwarding the data packets to the requesting computer;

10 maintaining state data on the client communications session, including the number of data packets sent to the requesting computer;

detecting a failure of the second subnet computer and in response identifying a replacement second subnet computer from which the requested data is available; and

15 sending a request for the requested data to the replacement second subnet computer, such that the request is for data beginning subsequent to the data packets already forwarded to the requesting computer.

25. A program product as defined in claim 18, wherein the performed method further includes:

configuring an operating system of the server computer such that all network addresses in a pool of addresses assigned to the server computers of the first subnet are assigned to the server computer;

generating a gratuitous address resolution protocol (ARP) message in response to an address reassignment of the server computer and communicating the ARP message to the other server computers of the first subnet;

blocking the sending of an ARP acknowledgment message to the other server computers of the first subnet for any received gratuitous ARP message, thereby inhibiting reboot operation of the respective server computers and ensuring that each server computer is unaware of any duplicate assignment of network address numbers.

26. A program product as defined in claim 18, wherein the performed method further includes operating as an authoritative node of the first subnet to ensure symmetric routing of network data traffic to and from the first subnet.

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27. A program product as defined in claim 26, wherein operating to ensure symmetric traffic routing comprises:

receiving a data request from a responding server computer of the first subnet, wherein the data request was initially received at the responding server computer, which determined the authoritative node for responding to the data request;

identifying a server computer in the first subnet that will handle the data traffic associated with the data request and forwarding the data request to the identified server computer for handling;

receiving a reply message from a server computer of the first subnet that is operating

- 5 as a default reply node to a second subnet computer that is responding to the data request; and

forwarding the reply message to a server computer of the first subnet that will ensure symmetric routing of the data request and reply message with respect to the server computers of the first subnet.

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28. A program product as defined in claim 27, wherein the performed method further comprises forwarding assignment information to the server computer of the first subnet that was operating as the default reply node for the data request, wherein the assignment information includes forwarding information that the default reply node can use
15 to directly forward response messages from the second subnet computer to the first subnet computer that will ensure symmetric routing.

20

29. A program product as defined in claim 18, wherein the computers of the second subnet comprise application servers.

30.

A network server computer comprising:

a network interface that permits communication between the server computer and other computers;

5 a distributed server application executed by the server computer that thereby permits the server computer to communicate with a plurality of server computers that are all members of a first subnet of network addresses over which network data is sent and received, comprising a front layer of servers, wherein the communication includes state sharing information with a dynamic reconfiguration protocol that permits reassignment of network addresses among the front layer servers and specifies state information sharing and load 10 information sharing among the front layer servers, and permits the server computer to communicate with a plurality of network computers that are members of a second subnet of network addresses to send and receive network data traffic.

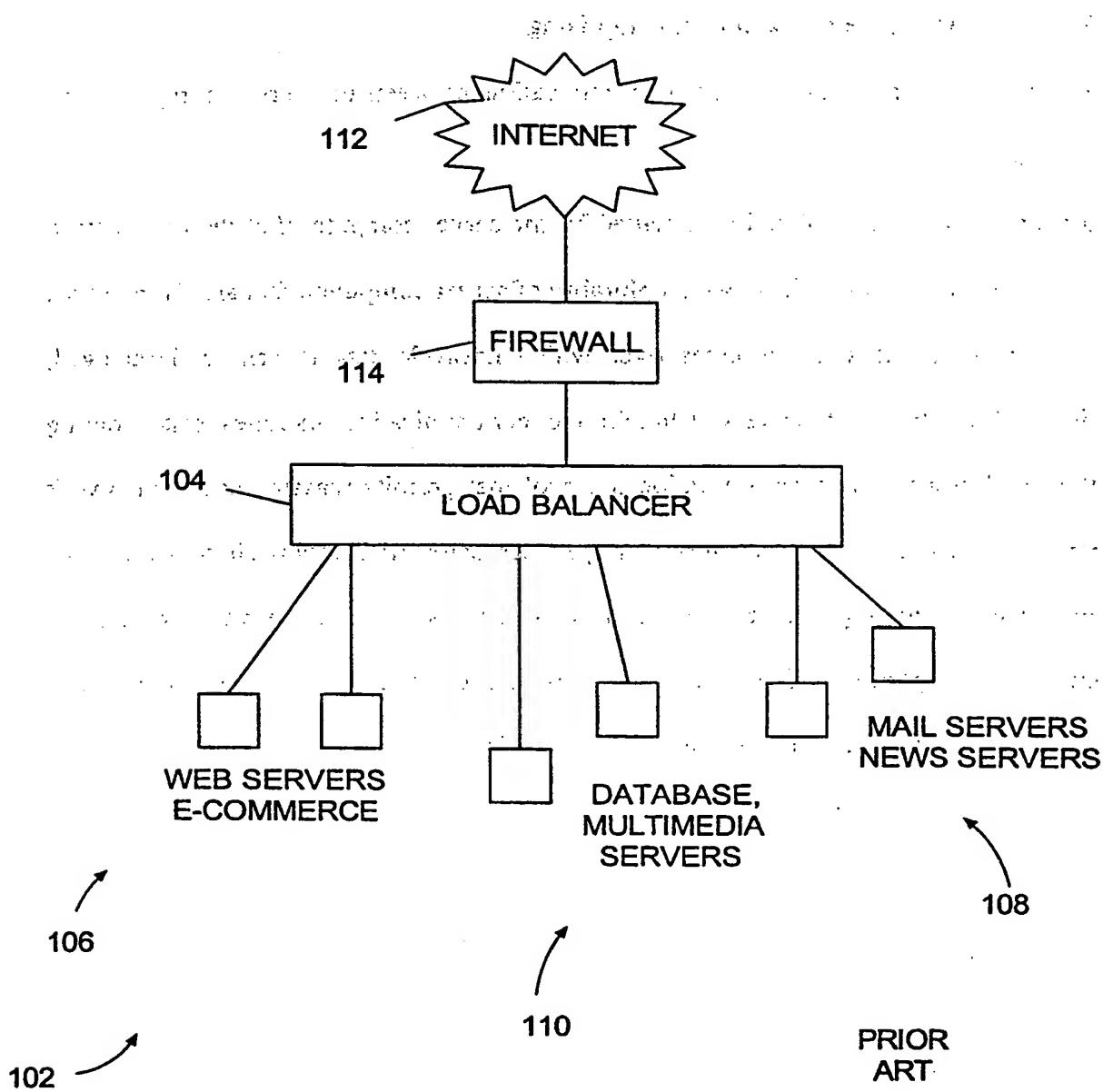


FIG. 1

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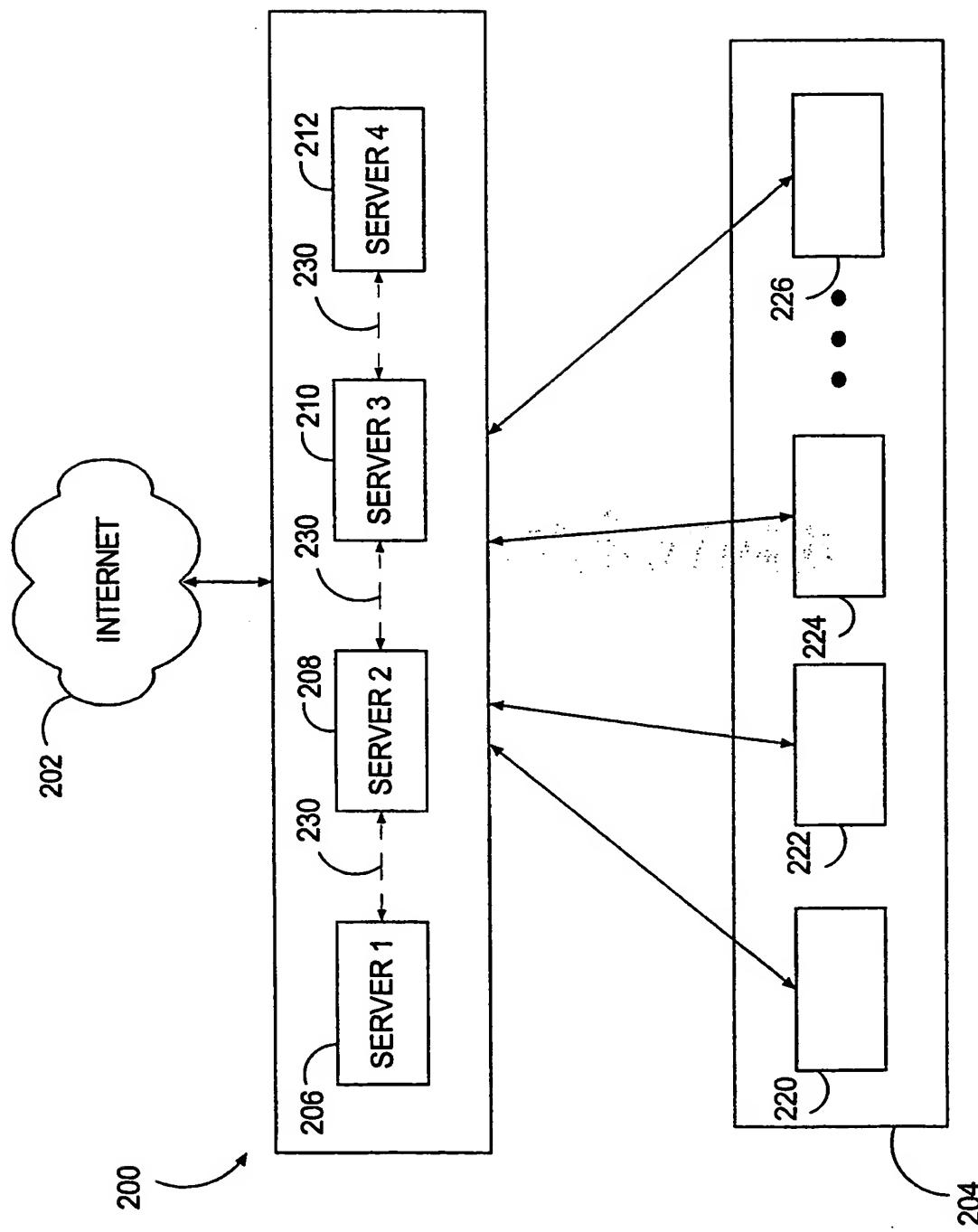


FIG. 2

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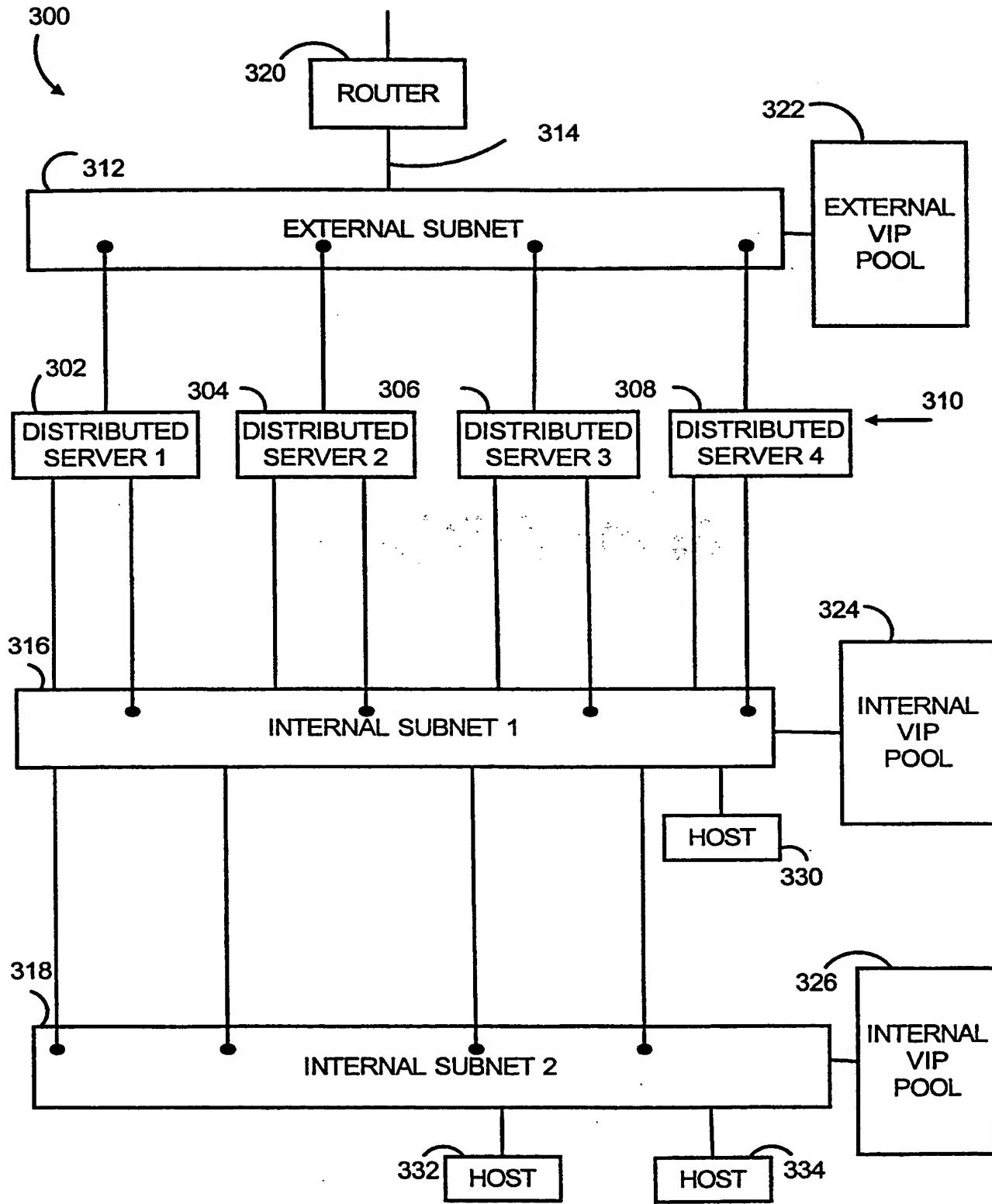


FIG. 3

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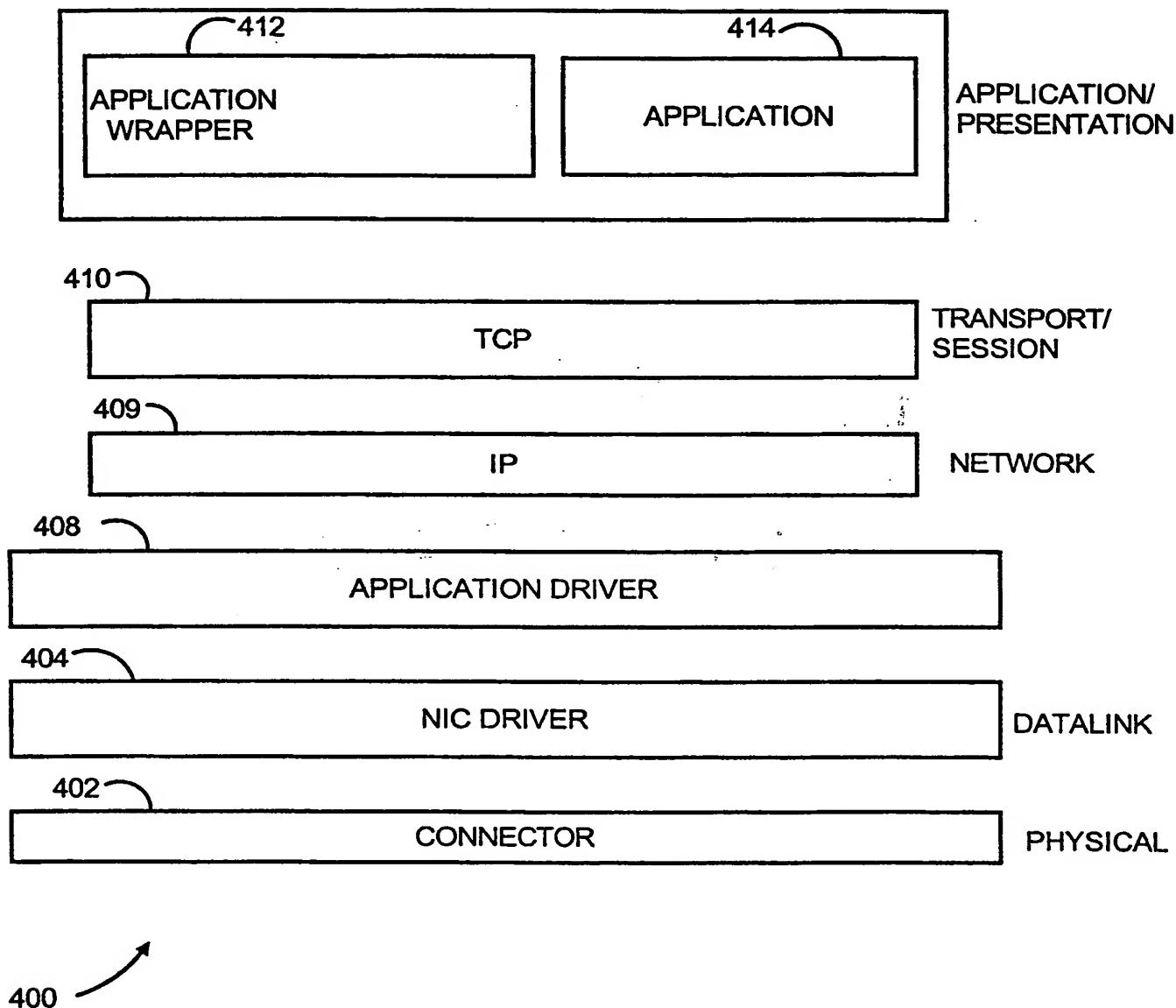


FIG. 4

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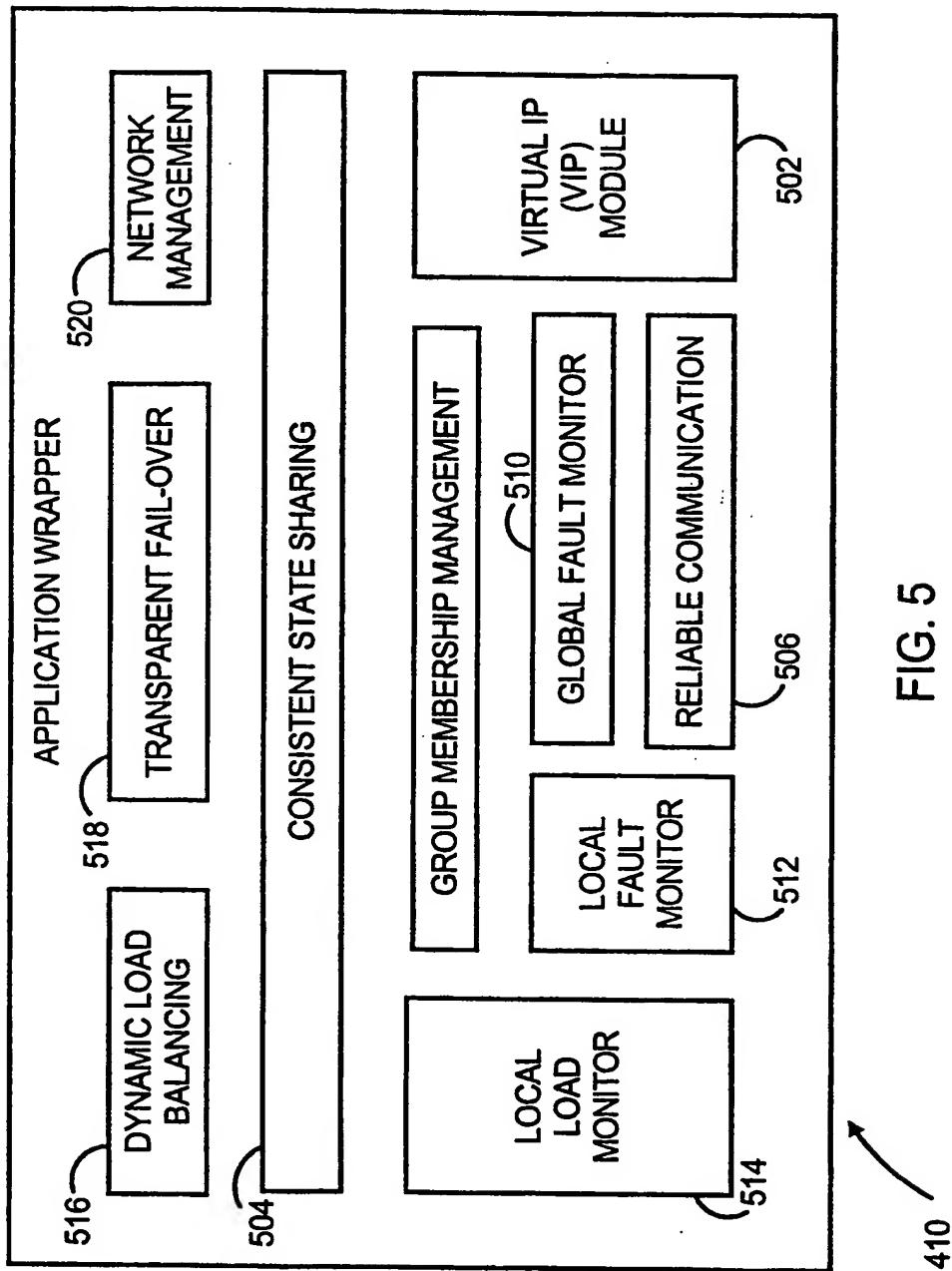


FIG. 5

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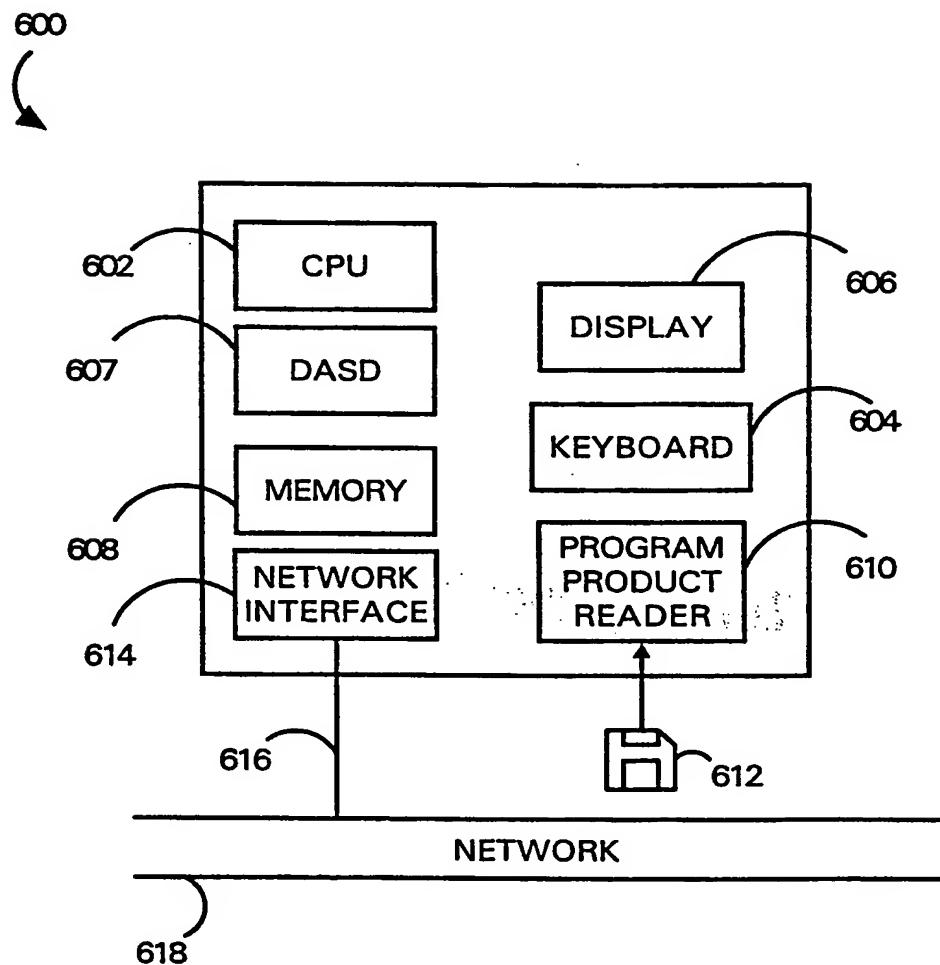


FIG. 6

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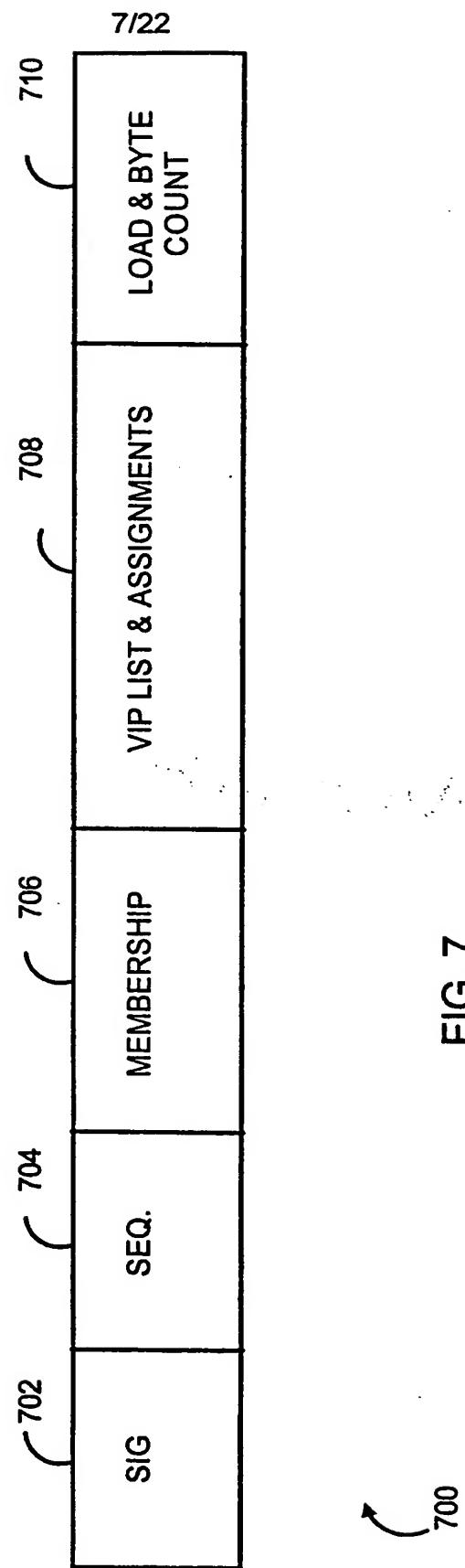


FIG. 7

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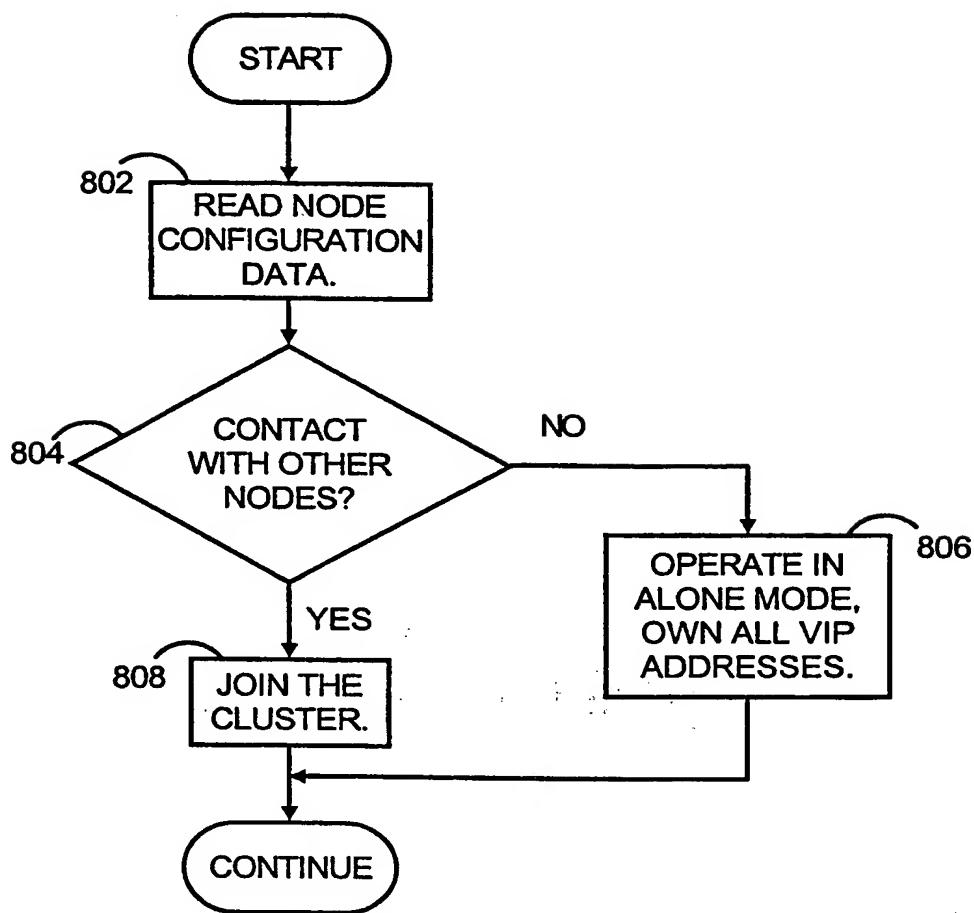


FIG. 8

SEARCHED

INDEXED

SERIALIZED

FILED

SEARCHED INDEXED
SERIALIZED FILED

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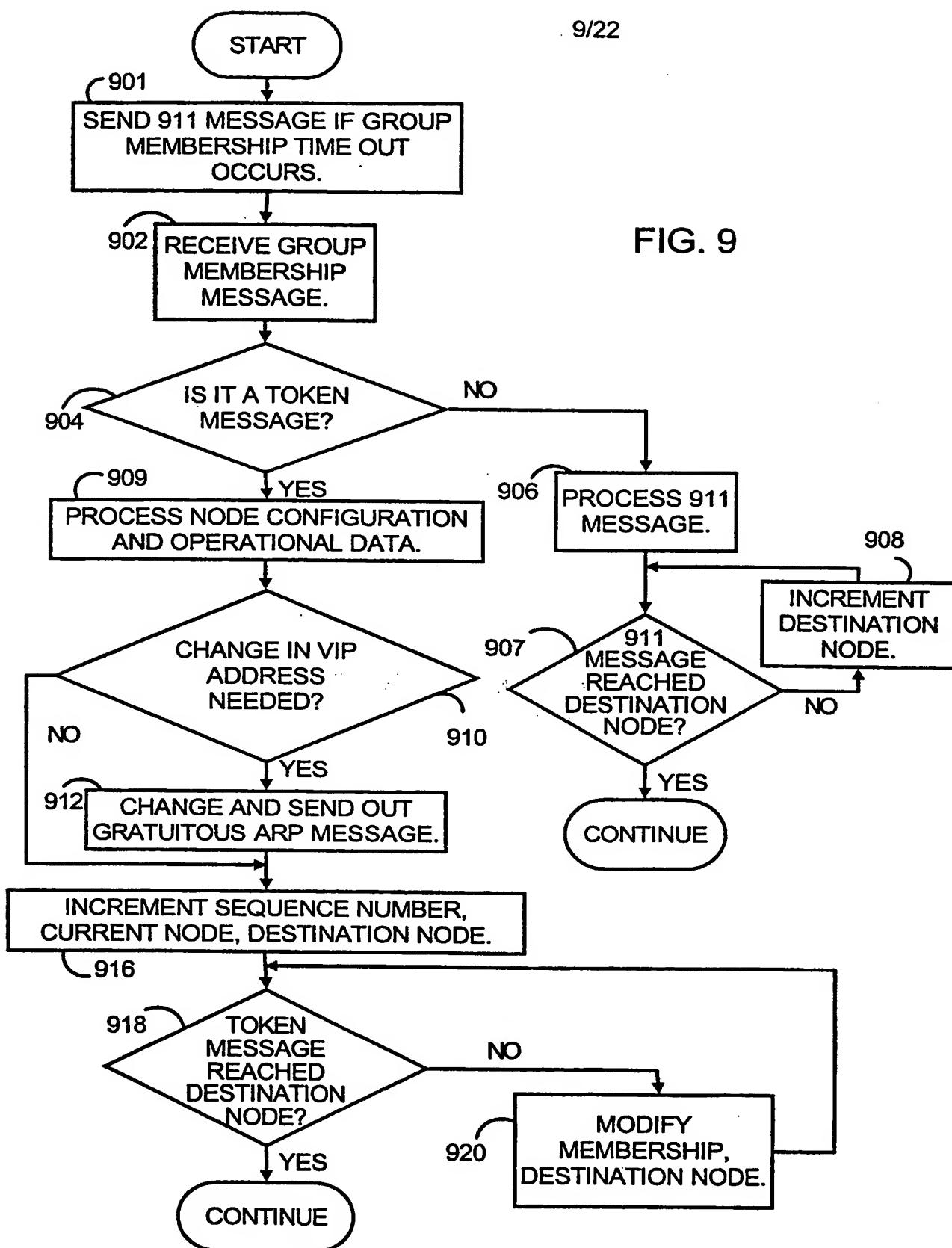


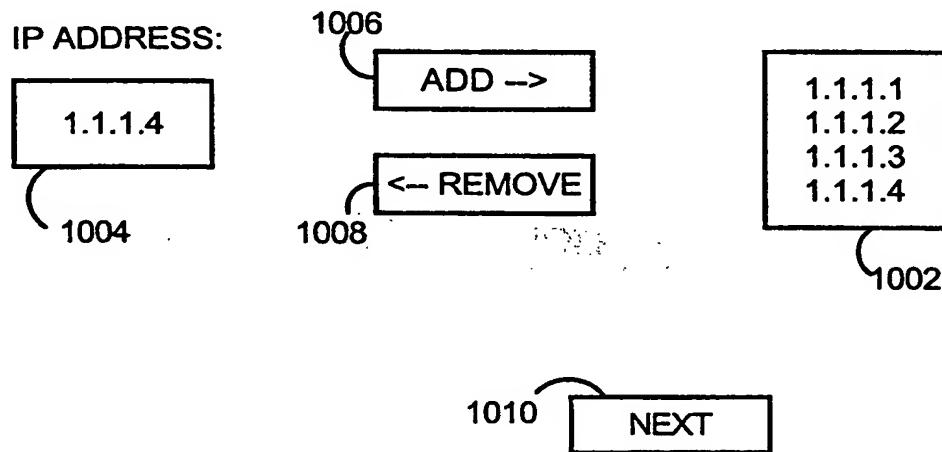
FIG. 9

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DISTRIBUTED SERVER IP ADDRESSES

ENTER THE INTERNAL IP ADDRESSES OF EACH COMPUTER THAT WILL BE A PART OF THE DISTRIBUTED SERVER CLUSTER. (ENTER ONLY ONE IP ADDRESS FOR EACH COMPUTER.)

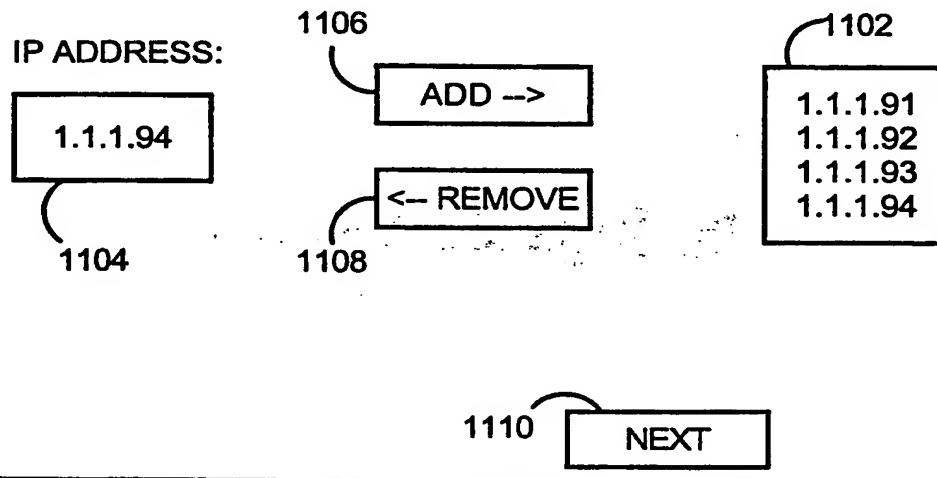
**FIG. 10**

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DISTRIBUTED SERVER VIRTUAL IP POOL

THE DISTRIBUTED SERVER HAS DETECTED A NIC WITH IP ADDRESS: (1.1.1.2)
ENTER THE VIRTUAL IP ADDRESS(ES) CORRESPONDING TO THIS NIC.

**FIG. 11**

1100

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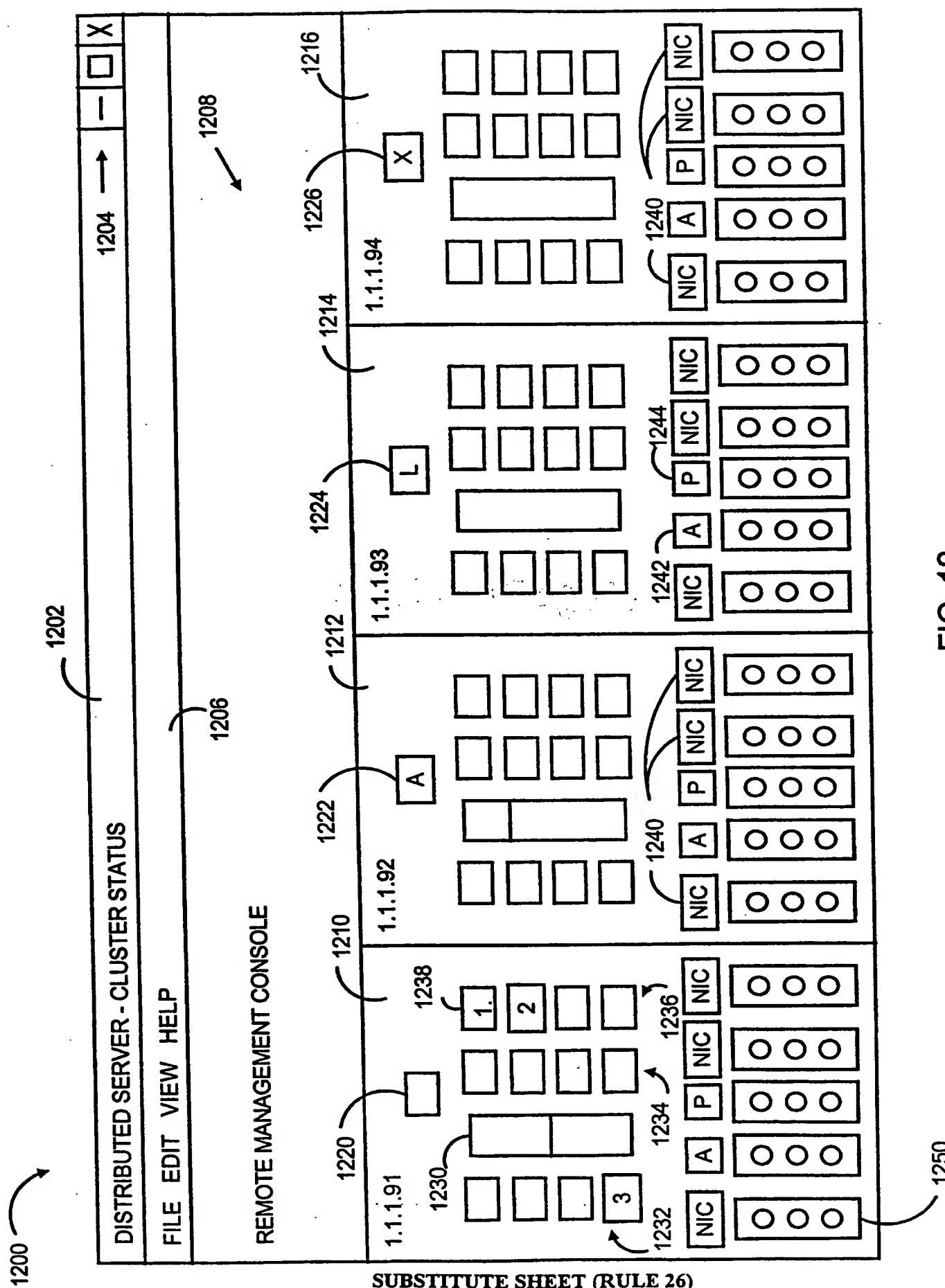
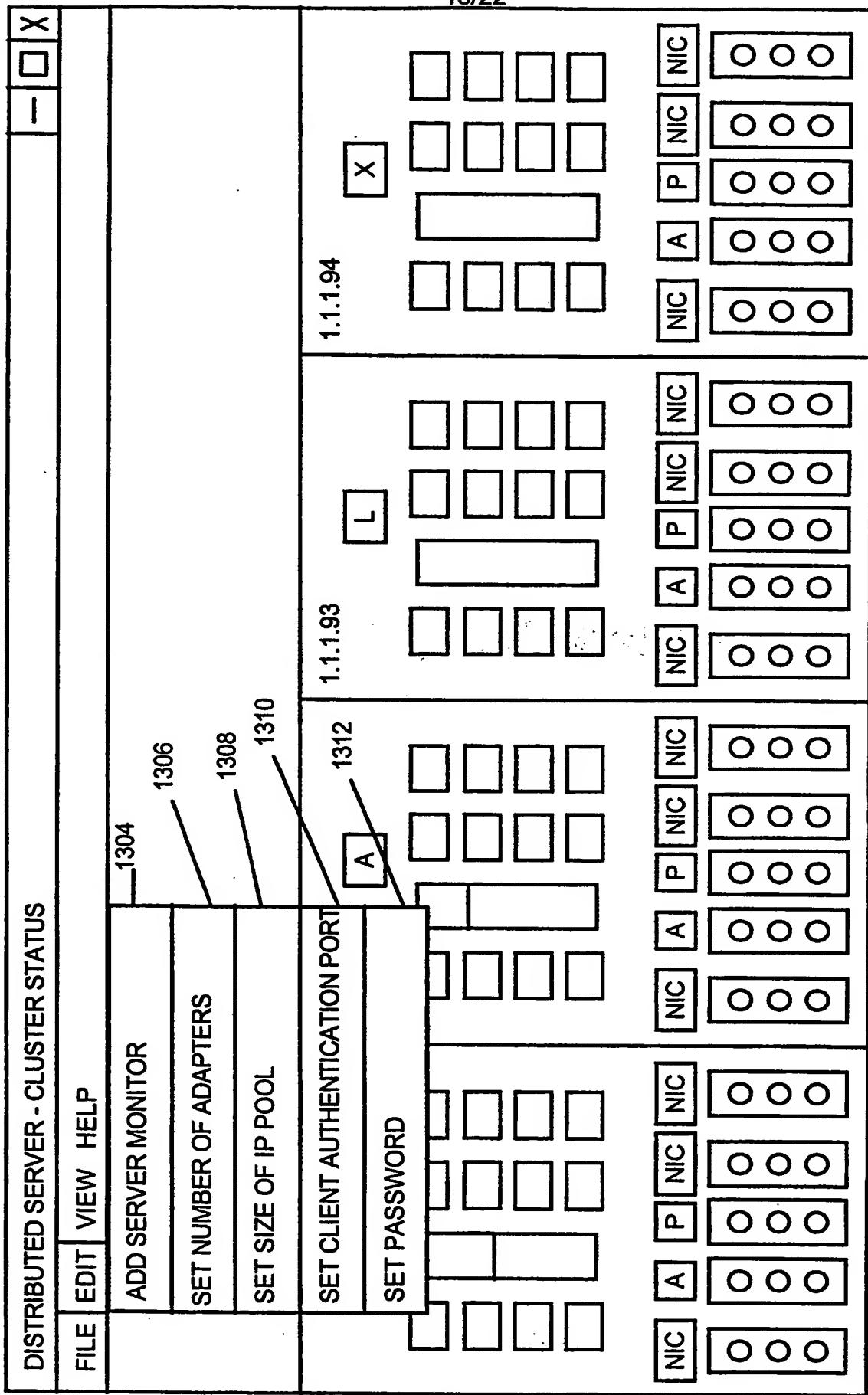


FIG. 12

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FIG. 13

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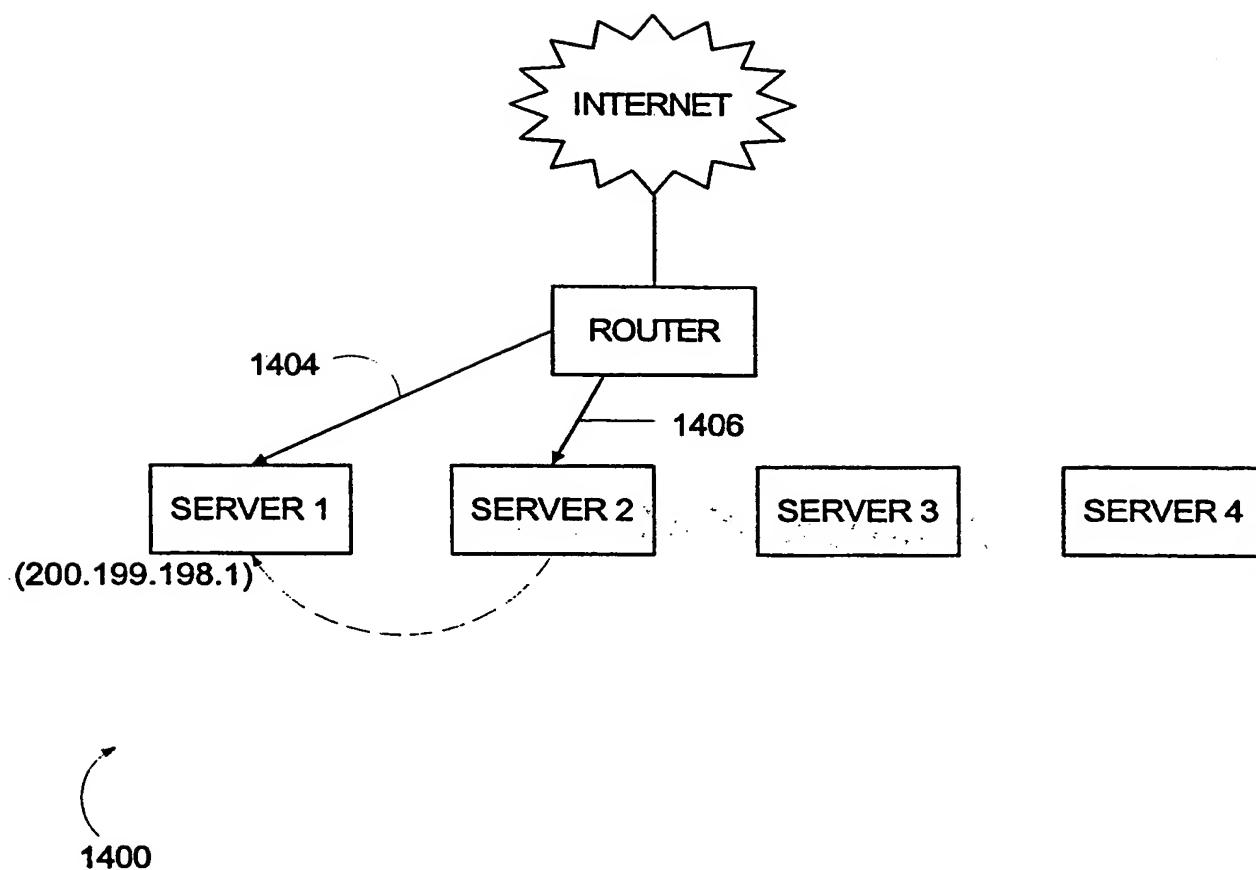


FIG. 14

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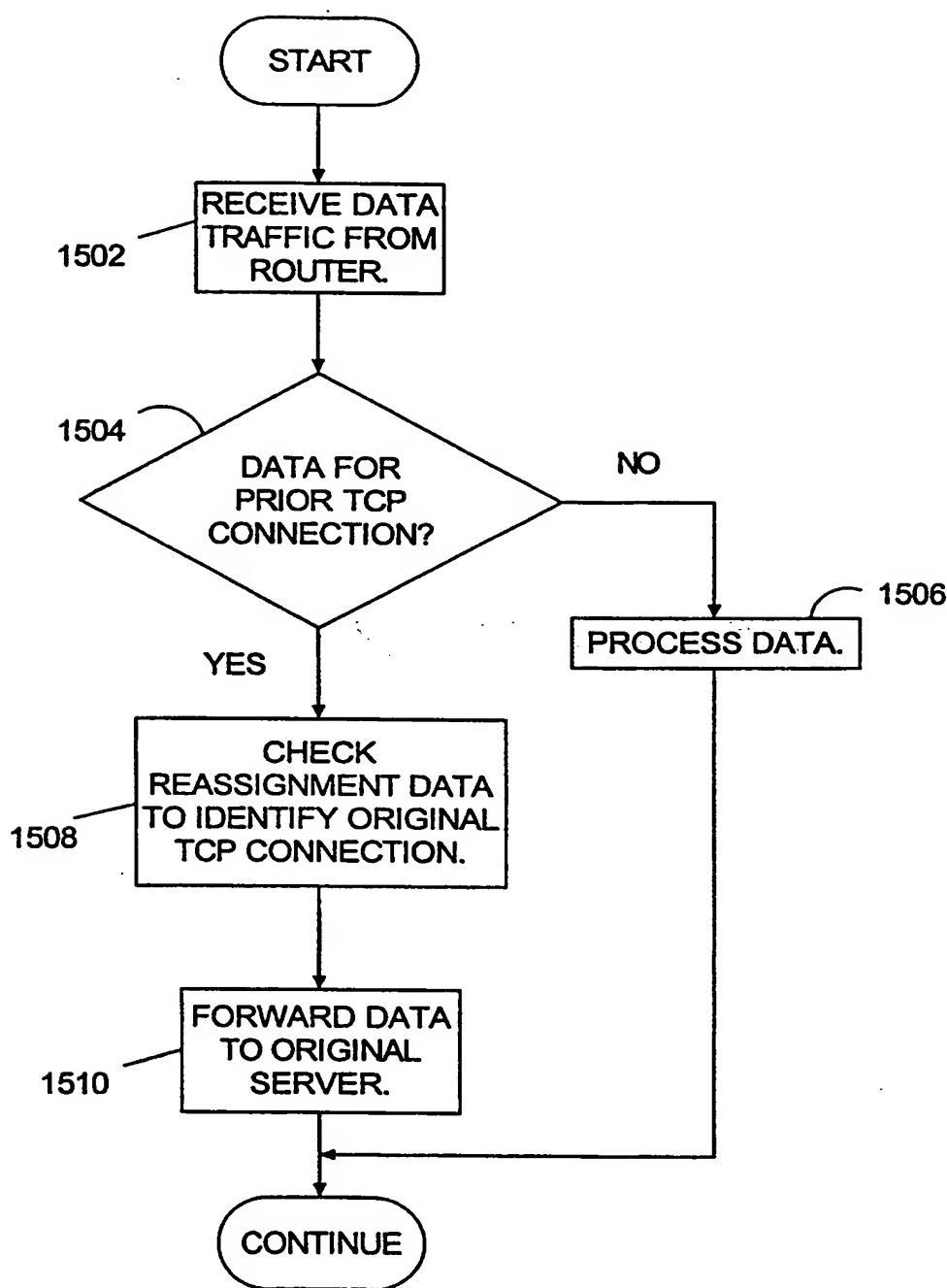


FIG. 15

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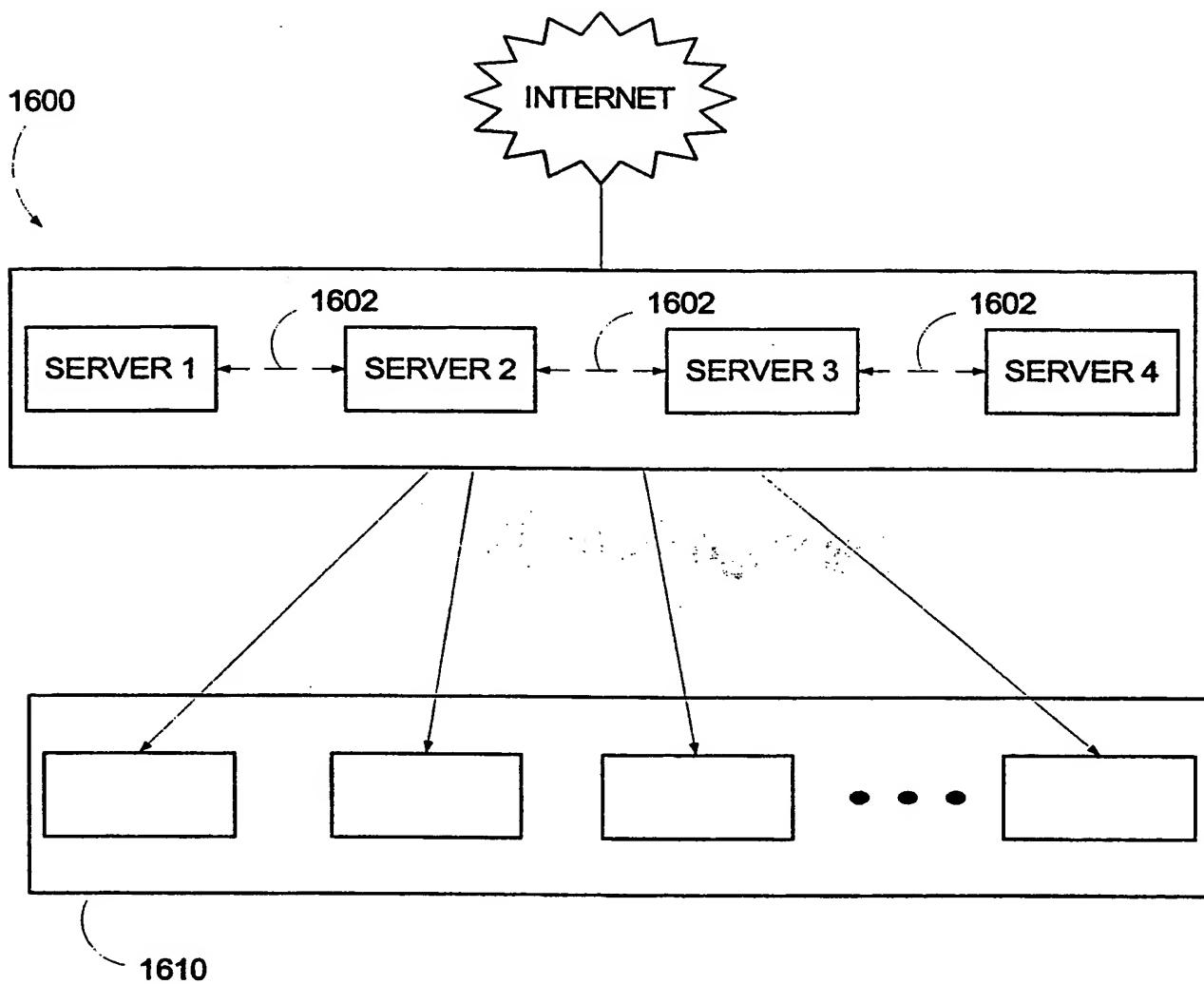


FIG. 16

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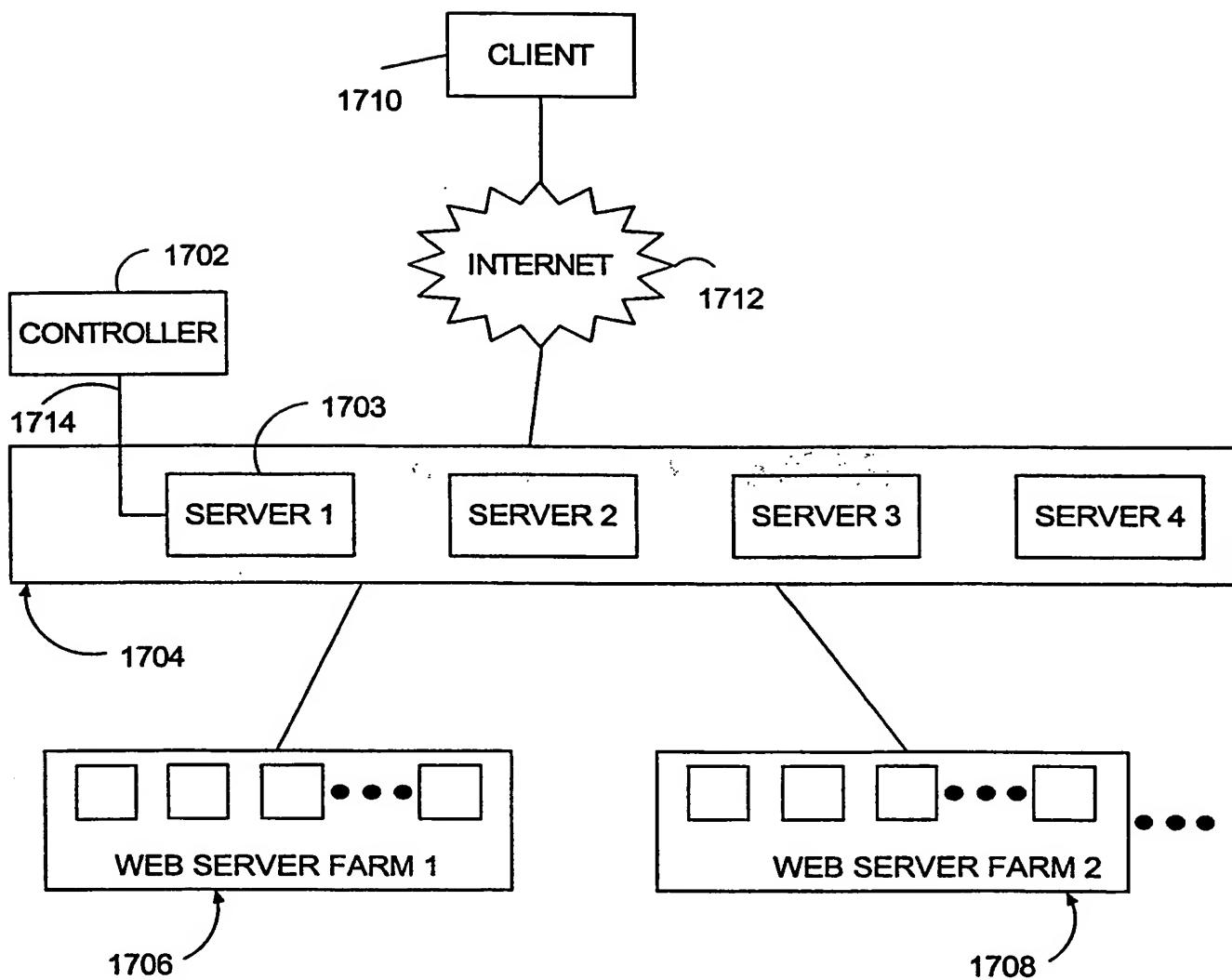


FIG. 17

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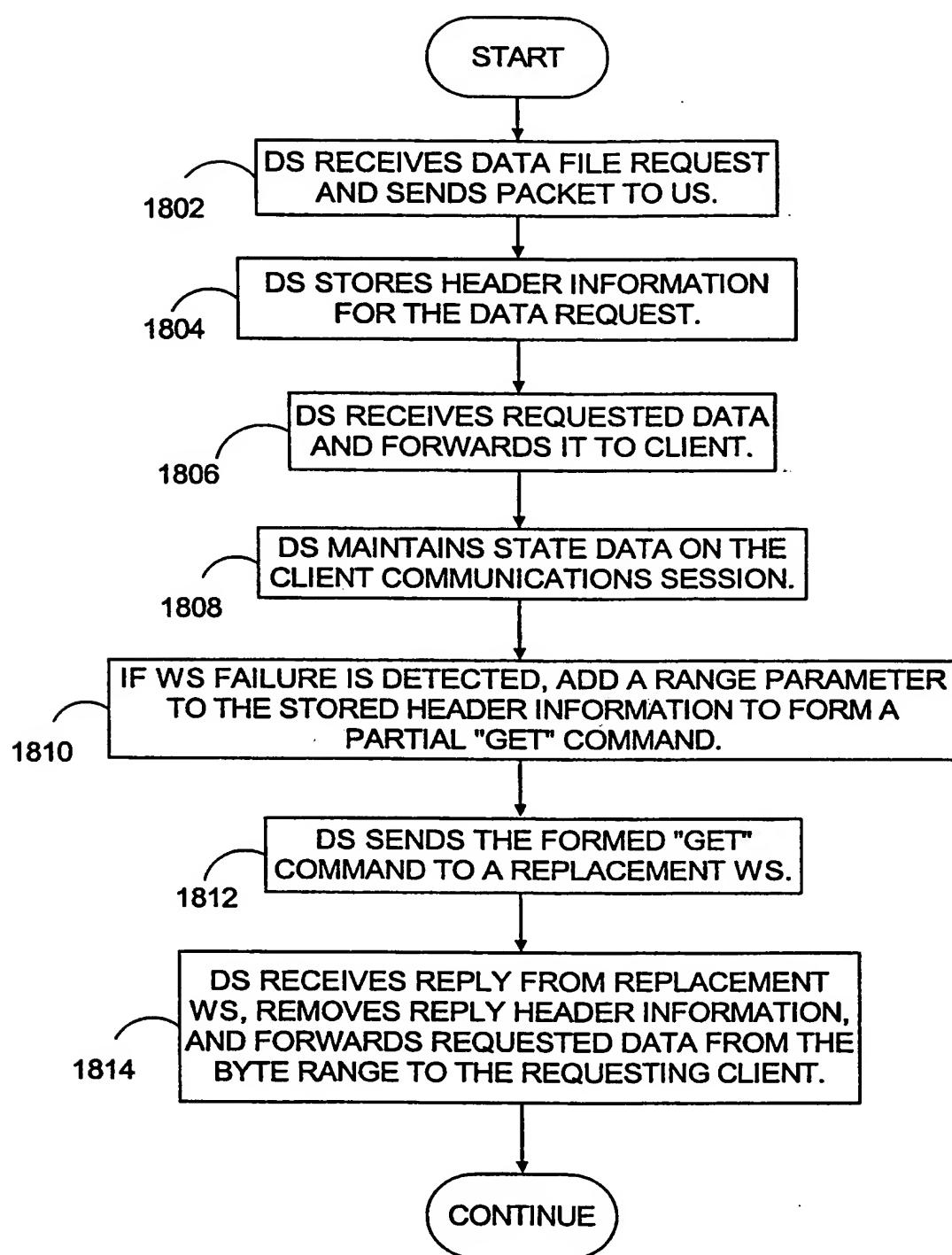


FIG. 18

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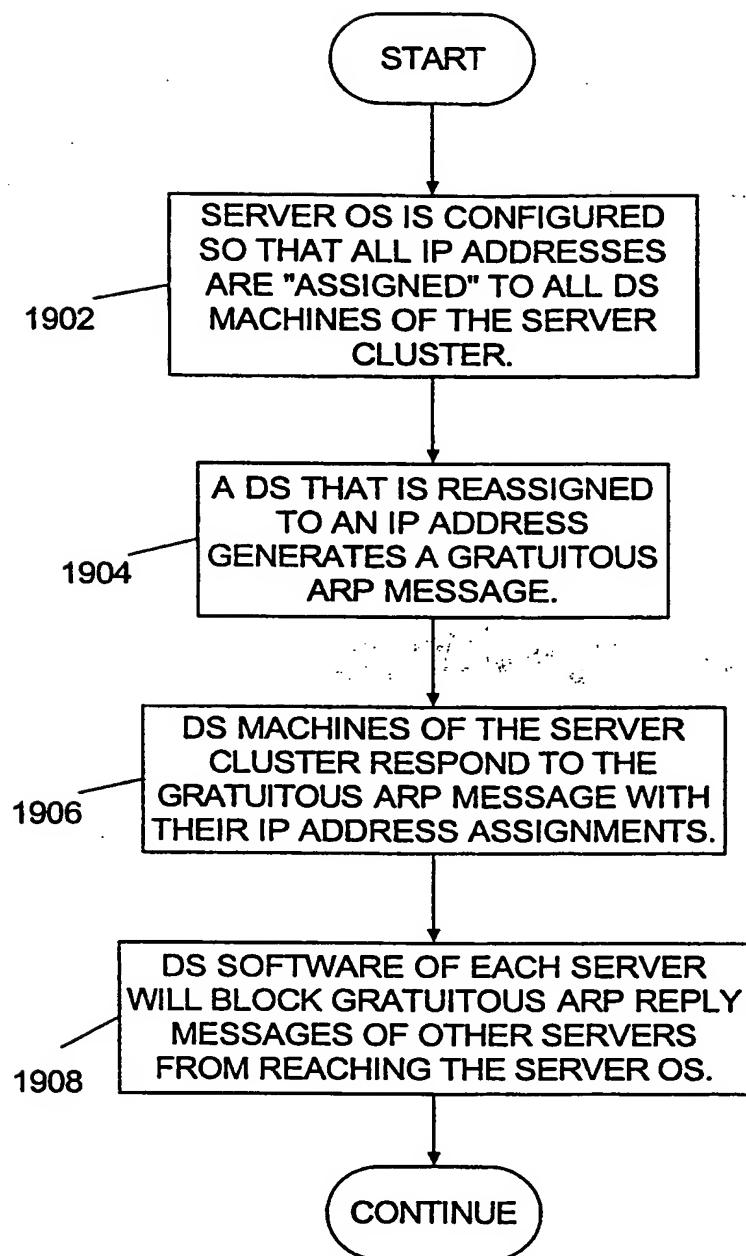


FIG. 19

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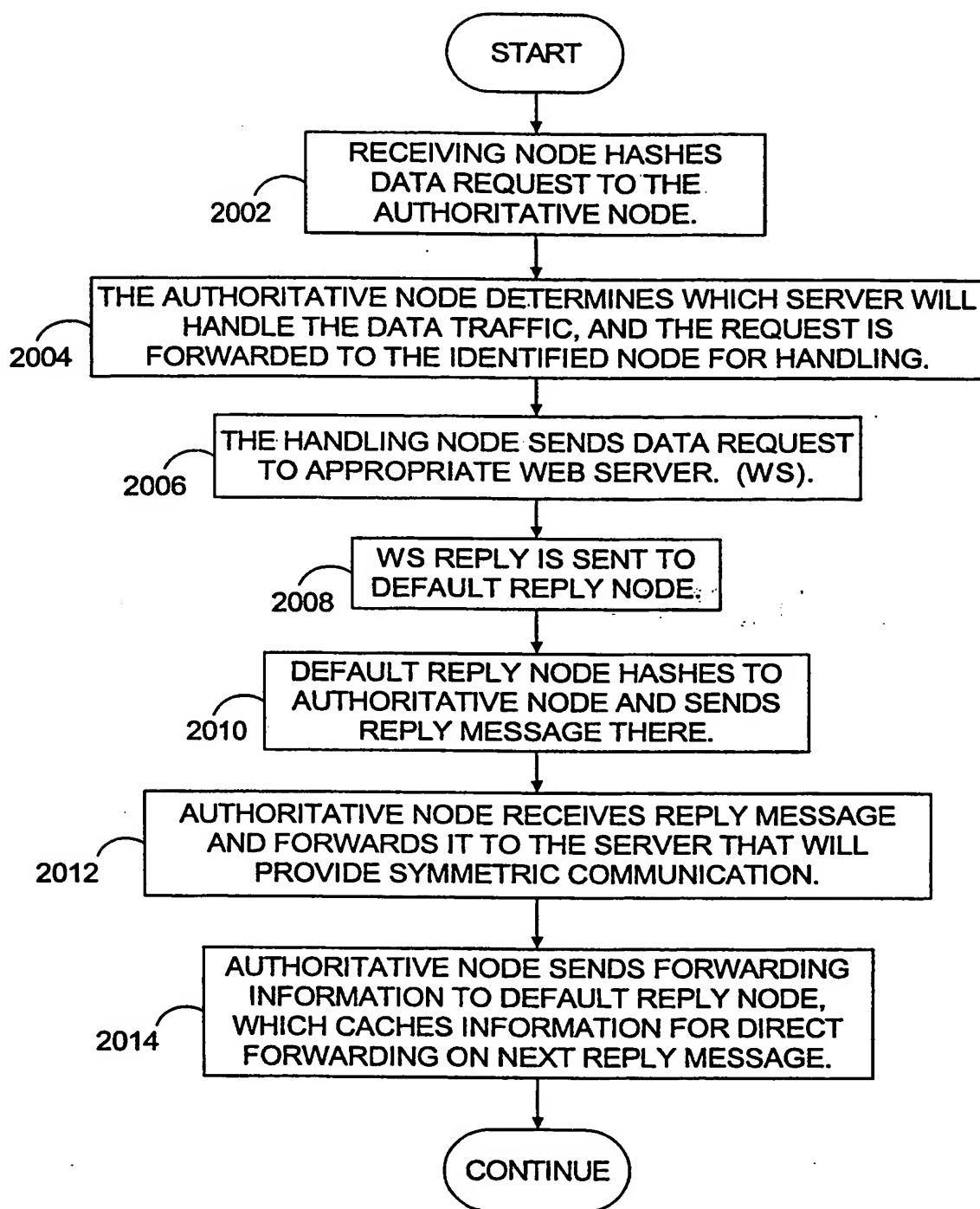


FIG. 20

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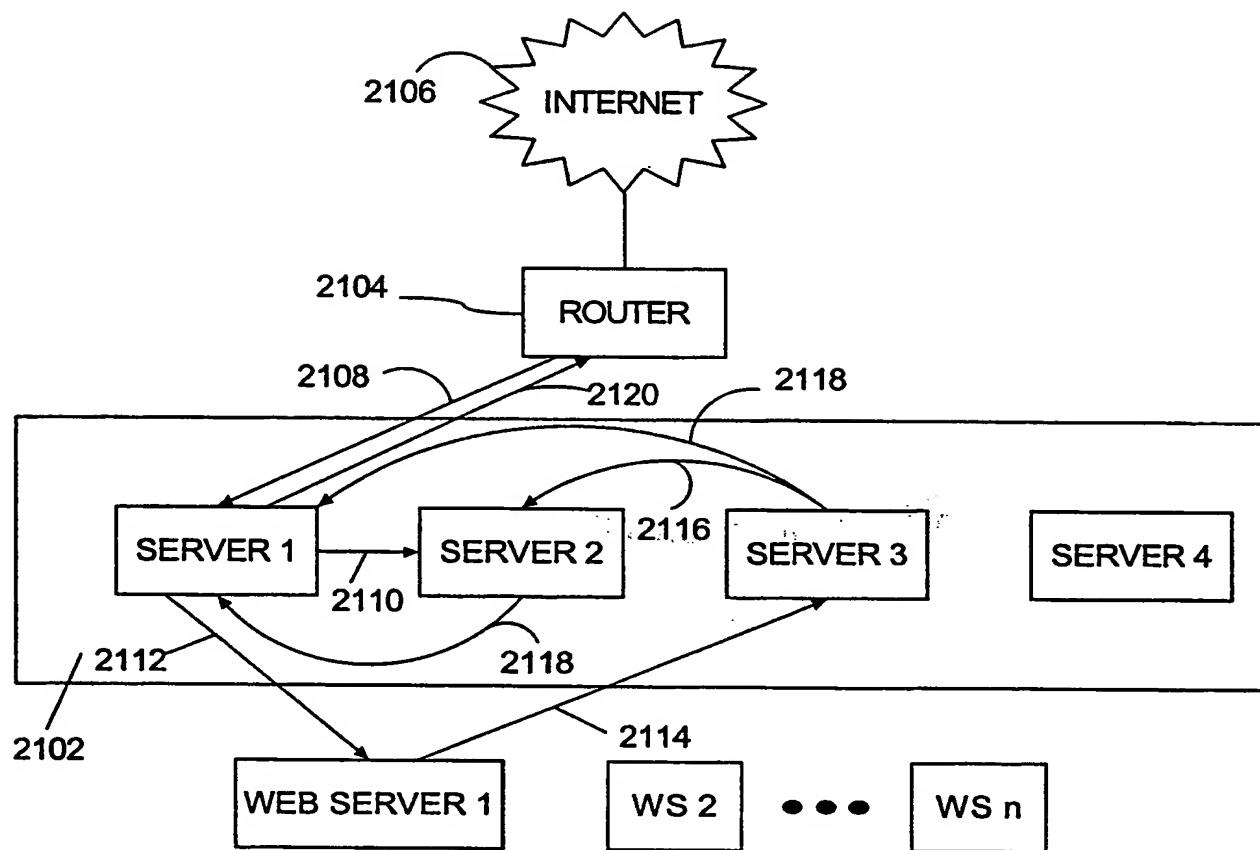


FIG. 21

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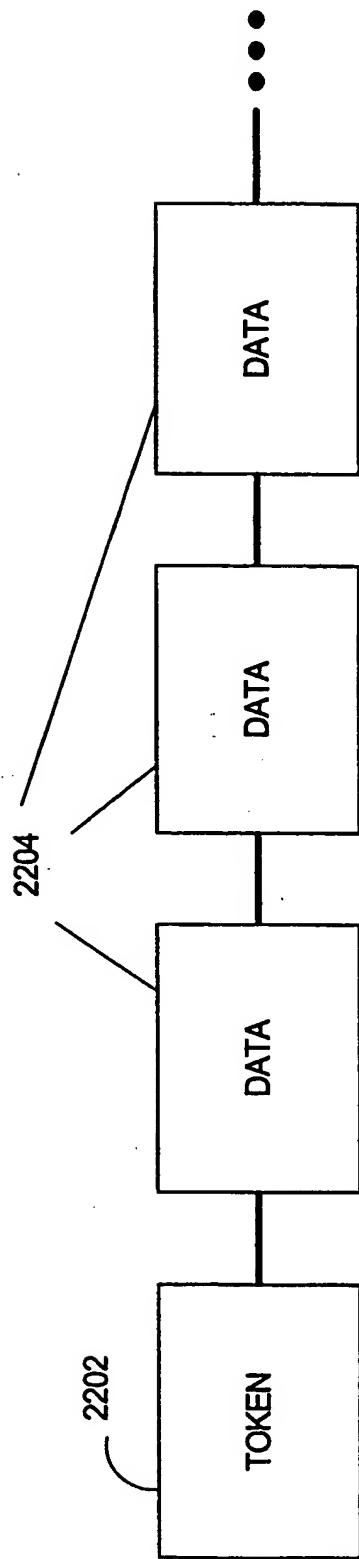


FIG. 22

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